Sean Donelan - sean@donelan.com

This guidance attempts to follow the Common Alerting Protocol philosophy -- one CAP message is intended to be distributed by multiple alerting channels. The guidance is intended to improve the consistency of CAP messages across all IPAWS distribution channels. Some items improve interoperability with different implementations, such as specifying case-sensitive versus case-insensitive values. Other items would improve the public presentation of alerts, but don't affect the operation of the alert system.

The guidance does not favor a particular IPAWS distribution channel. Nevertheless, this guidance does recognize some CAP elements are used for specific IPAWS distribution channels. For example, general CAP elements such as Headline, Description and Instructions used by multiple IPAWS distribution channels, should use mixed case text with normal punctuation according to the Info block language. But CMAMtext and CMAMlongtext Parameter elements, used only for WEA, should use only characters which can be transformed into the GSM 7-bit character set. Finally, some poorly specified CAP elements could be used by new IPAWS distribution channels, if better specified. Geo-location elements and Resource blocks are examples.

Interoperability items are always the most difficult to reach agreement, because multiple choices are possible. Different choices may be correct but may not be interoperable. This guidance intentionally makes some choices which are different than individual alerting origination software, but I believe improve the overall alerting ecosystem across all stakeholders. That does not mean those vendors were incorrect. I hope those vendors and customers will consider making compatible changes.

CAP v1.2 standard	Liberal accept	Conservative send	Notes
Alert <alert></alert>		Required.	
The container for all			
component parts of the alert			
message.			
(Required)			
Message ID <identifier></identifier>	Must be unique (case-	Automatically generate	Must be something unique for
The identifier of the alert	sensitive) within same Sender	Message ID when sent.	each message.
message.	ID.		Suggestion: A Universally
(Required)			Unique Identifier (UUID) or

## CAP Alert Element and Sub-elements Details

	Must not include spaces,	Use only URL and Filename	(GUID), e.g., "123e4567-e89b-
	commas or restricted	Safe Alphabet (RFC4648) [A-	12d3-a456-426655440000."
	characters (< and &).	Za-z0-9], hyphen and	Use database or include
		underscore.	workstation hash to avoid ID
	An identical Message ID could		collisions.
	be used with multiple Sender	Avoid case-sensitive values,	Alternative: A structured string
	IDs. Message IDs are not	i.e., use all upper or	used to order and group
	universally unique, only	lowercase. When used in	events in other systems, such
	unique with the same Sender	Reference ID, could be treated	as used by IPAWS tests, NWS
	ID.	as case-sensitive.	and NCMEC.
		Maximum 64 characters.	
		Do not reuse Message IDs with	
		the same Sender ID.	
Sender ID <sender></sender>	Assigned globally unique	Automatically populate based	Avoid personally identifiable
The identifier of the sender of	(case-sensitive) name for alert	on alerting organization.	information, such as operator
the alert message.	originator.		email addresses. " <name>@"</name>
(Required)		Use only Internet domain	portion usually unnecessary.
	Must not include spaces,	name alphabet (RFC1035) [A-	
	commas or restricted	Za-z0-9], hyphen and period.	Suggest using organization
	characters (< and &).		fully qualified domain name:
		Avoid mixed case names, i.e.,	"fema.dhs.gov"
	Verify (Message ID and Sender	use all upper or lowercase.	"mshp.dps.missouri.gov"
	ID) is not a duplicate Alert.	When used in Reference ID,	"oem.nyc.gov"
		could be treated as case-	Organization domain should
		sensitive.	be consistent with COG.
		Maximum 64 characters.	The Sender ID is always the
			actual originator, even if the
			Sender Name is a different

			agency name. The Sender ID element is not intended to be rendered as part of the public alert.
Sent Date/Time <sent></sent>	Must have valid date / time /	Automatically populate with	Use local time and time zone
The time and date of the origination of the alert message.	offset format "CCYY-MM- DDThh:mm:ssXzh:zm".	the current time when sending the CAP message.	offset of intended alert area or community.
(Required)	Verify the Sent Date/Time is	Sent Date/Time must be	If a nationwide alert, use
	recent, i.e., not older than the expiration time interval for the	within +/- two minutes of current time.	Eastern time zone offset.
	distribution channel.		See notes below.
Message Status <status> The code denoting the appropriate handling of the alert message. (Required)</status>	CAP v1.2 code values Allowed values Actual, Exercise, System, Test or Draft.	"Actual"	Required for Public alerts.
Message Type <msgtype> The code denoting the nature of the alert message. (Required)</msgtype>	CAP v1.2 code values Allowed values Alert, Update, Cancel, Ack or Error.	Alert, Update or Cancel	Alert, Update and Cancel message types used for Public alerts.
Source <source/> The text identifying the source of the alert message. (Optional)		Omit, if not used. Automatically populate based on alert origination point.	May appear as the signature line in the alert message in some CAP distribution channels.
			Should be treated as metadata, not normally rendered as part of the public alert.

			See notes below.
Scope <scope> The code denoting the intended distribution of the alert message. (Required)</scope>	CAP v1.2 code values Allowed values Public, Restricted or Private.	"Public"	Required for Public alerts. Public alerts are assumed to be appropriate for immediate public release.
Restriction <restriction> The text describing the rule for</restriction>	Required when Scope is Restricted.	Omit, if not used.	CAP public distribution channels should NOT render
limiting distribution of the restricted alert message. (Conditional)	May be present even when Scope is not Restricted.	Must not be present in Public alerts.	CAP messages containing a Restriction element, even if the Scope element is Public. Alert Originators should not rely on (public) exchange partners obeying the contents of the Restriction element.
Addresses <addresses> The group listing of intended recipients of the alert message. (Conditional)</addresses>	Required when Scope is Private, optional when Scope is Public or Restricted. Space delimited string of all COG-ID(s) that the message will be posted to.	Omit, if not used.	Not used by CAP public distribution channels. May be used for CAPEXCH, even when Scope is Public, to notify other COGs.
Handling Code <code> The code denoting the special handling of the alert message. (Optional, multiple occurrences allowed)</code>		"IPAWSV1.0"	Required for Public alerts.
Note <note> The text describing the purpose or significance of the alert message. (Optional)</note>		Omit, if not used. If present, should contain plain text, without control	Not used by Public CAP distribution channels. A Note element should be present when Message Status

Sean Donelan – sean@donelan.com

		codes or formatting characters.	is Exercise or Message Type is Error.
Reference IDs <references> The group listing identifying earlier message(s) referenced by the alert message. (Optional)</references>	Required when Message Type is Update, Cancel, Ack or Error. May be present when Message Type is Alert. If present, must reference earlier CAP message or messages and structured as "sender,identifier,sent" with 3 data elements and 2 commas. If multiple CAP messages are referenced, each reference ID structure must be separated by whitespace.	Cancel, Update, Ack or Error should automatically populate from unexpired, previous CAP message(s). Preserve exact element values from original CAP message, including case.	All related messages that have not yet expired must be referenced for Update and Cancel messages. Do not include Reference IDs of expired CAP message(s). Alerting organizations should not Update or Cancel messages with different Sender IDs, except in extraordinary circumstances. CAP exchange partners should check for exact match, including case-sensitive. If no previous message found, may check for case-insensitive match.
Incident IDs <incidents> The group listing naming the referent incident(s) of the alert message. (Optional)</incidents>	If multiple incident identifiers are referenced, they shall be separated by whitespace. Incident names containing whitespace shall be surrounded by double-quotes.	Omit if not used.	Not used by Public CAP distribution channels. May be used for CAPEXCH.

Notes on Sent Date/Time element:

Sean Donelan - sean@donelan.com

All time elements (Sent, Effective, Onset, Expires) in CAP message should use the local time zone of the alerting area of responsibility. If an alerting organization's area of responsibility spans multiple time zones, choose a consistent local convention. For example, statewide Amber alerts could use the local time and time zone offset of the state capital. Avoid using Coordinated Universal Time (-00:00), in public CAP Messages. An agency may use UTC for internal processing, but public alerts should use a local time zone to minimize any public confusion.

If daylight saving time changes during alert, use time zone offset in effect at the time in the element. For example, Sent Date/Time uses 12:45 a.m. EDT (-04:00) and Expiration Date/Time uses 3:45 a.m. EST (-05:00) when daylight saving time ends at 2 a.m. between the sent and expiration times.

#### Notes on Source element:

Avoid using personally identifiable information, such as operator names or initials. When the specific alert origination point is not relevant to the public e.g., distinguishing between a primary and backup emergency operation center, the Source element can be used to track where a problem alert originated. Alert system audit logs are also be used to trace alerts when a problem occurs. But it can take longer to check audit logs in multiple locations, especially when the source of a problem is an infrequently used location.

When the alert origination point is relevant to the public, use the Info block Sender Name element for different alert origination points, e.g., local Weather Forecast Offices.

Single source alerting organization: Optional, omit.

Multiple source alerting organization:

Acronym or short name (max 10 characters) for different alert origination points in an agency, usually different locations. Because the Source element appears in public CAP messages, it should not identify the individual operator or workstation within those different locations. For example (not actual):

- "FOC" FEMA Operation Center
- "FAOC West" FEMA Alternate Operation Center West
- "IPAWS" FEMA IPAWS program office
- "TEST" JITC Lab

Sean Donelan – sean@donelan.com

#### CAP Info Element and Sub-elements Details

CAP v1.2 standard	Liberal accept	Conservative send	Notes
Info <info></info>	All Info block instances shall	At least one Info block	Some Exchange partners may
The container for all	be appropriate for immediate	instance when Message Type	process only the first Info
component parts of the info	public release.	is Alert, Update as well as	block encountered in a
sub-element of the alert		Cancel. No Info block instance	language they support.
message.	All Info blocks in a single alert	required when Message Type	
(Optional, multiple	must relate to a single incident	is Ack or Error.	In case exchange partners
occurrences allowed)	or update, with the same		missed an earlier message,
	event category and event code	All Info blocks in a CAP alert or	a Cancel message should
	values.	update or cancel message	include at least a minimal,
		must contain consistent	courtesy Info block instance
	Incident metadata could differ	metadata information with	with the same metadata
	within groups of Info block	the same event category and	information, i.e., Event
	Language sequences. IPAWS	event code values.	Categories, Event Codes and
	does not use groups of Info		Areas, from the most recent
	block Language sequences but	Groups of Info block Language	referenced message.
	can occur with CAP systems	sequences are not supported	
	designed for other nations.	in IPAWS. For IPAWS, each	In any case, a Cancel message
		unique Language identifier	cancels all referenced
	At least one Info block	value should have only one	messages, regardless of the
	instance required when	Info block instance.	presence or absence of any
	Message Type is Alert or		Info blocks.
	Update. Info blocks instances		
	are optional when Message		
	Type is Cancel, Ack or Error.		

Code Values: Natural language	The first Info block occurrence	Some exchange partners may
identifier per [RFC 3066] is	should use the default	ignore a CAP message, when
NOT case-sensitive and may		no Info blocks contain a
- · ·	Language identifier value.	Language identifier they
sub-tags of 1 to 8 characters		support.
each. For example, "en" for	Each language identifier	
	00	Additional Info block instances
English, "en-US-MA" for	· · · · · · · · · · · · · · · · · · ·	with other Language identifier
Massachusetts English dialect	e.g., "en-US" or "es-US"	values should be after the
or "sgn-US" for American Sign		default or mandatory
Language.	The Language identifier should	Language Info block.
assumed as the default value.	recommends that language	
	codes are written in	
Multiple Info block instances	lowercase. [ISO 3166]	
may be used for the same	recommends that country	
alert with content in different	codes are capitalized.	
languages.		
Multiple Info block instances		
containing the same Language		
identifier should be treated as		
a group.		
All Info block instances must	At least one Event Category	Some Exchange partners may
have the same Event Category	code required.	process only the first Event
values.		Category occurrence in an Info
Allowed values Geo, Met,	A Cancel or Update message	block.
Safety, Security, Rescue, Fire,	should automatically populate	
Health, Env, Transport, Infra, CBRNE or Other.	the same Event Category(ies)	
	<ul> <li>identifier per [RFC 3066] is NOT case-sensitive and may contain a tag with multiple sub-tags of 1 to 8 characters each. For example, "en" for English, "en-US" for US English, "en-US-MA" for Massachusetts English dialect or "sgn-US" for American Sign Language.</li> <li>If null or absent, "en-US" is assumed as the default value.</li> <li>Multiple Info block instances may be used for the same alert with content in different languages.</li> <li>Multiple Info block instances containing the same Language identifier should be treated as a group.</li> <li>All Info block instances must have the same Event Category values.</li> <li>Allowed values Geo, Met, Safety, Security, Rescue, Fire,</li> </ul>	identifier per [RFC 3066] is NOT case-sensitive and may contain a tag with multiple sub-tags of 1 to 8 characters each. For example, "en" for English, "en-US" for US English, "en-US-MA" for Massachusetts English dialect or "sgn-US" for American Sign Language.should use the default (omitted) or mandatory Language identifier should contain one language code and one country code, e.g., "en-US" or "es-US"If null or absent, "en-US" is assumed as the default value.The Language identifier should match (upper/lowercase) the relevant code tables. [ISO 639] recommends that language codes are written in lowercase. [ISO 3166] recommends that country codes are capitalized.Multiple Info block instances may be used for the same alert with content in different languages.At least one Event Category code required.All Info block instances maye the same Event Category values.At least one Event Category code required.Allowed values Geo, Met, Safety, Security, Rescue, Fire, Health, Env, Transport, Infra,At least one Event Category(ies)

		from the most recent referenced message.	
<b>Event Type <event></event></b> The text denoting the type of the subject event of the alert message.	If present, could contain line breaks, white space and control codes.	Should use a controlled vocabulary in the Info block language containing text limited to 35 characters,	The Event Type text should be synchronized with the Event Code(s).
(Required)		without control codes or formatting characters.	Info Blocks with different Language identifiers may use a different Event Type text for
		A Cancel or Update message should automatically populate the Event Type from the Info block with the same Language identifier in the most recent referenced message.	each language.
Response Type <responsetype> The code denoting the type of action recommended for the target audience.</responsetype>	If present, allowed values are Shelter, Evacuate, Prepare, Execute, Avoid, Monitor, Assess, AllClear or None.	Strongly recommended. Initial alert (emergency, watch or warning) messages should use Shelter, Evacuate, Prepare, Execute, Avoid or	If Response Type exists, and is not None, a corresponding Instructions element should be present.
(Optional, Multiple occurrences allowed)		Monitor. Administrative and test messages should use Assess, AllClear or None. Update and Cancel messages may use any allowed Response Type values.	Required if the message is intended for WEA distribution and "CMAMtext" Parameter is null.
Urgency <urgency> The code denoting the urgency of the subject event of the alert message.</urgency>	Allowed Values Immediate, Expected, Future, Past or Unknown.	Required.	The Alert Originator can change the Urgency in Update and Cancel Messages.

(Required)			WEA Imminent Threat messages require Immediate or Expected. The WEA message will canceled if a WEA Imminent Threat message Update uses other values.
Severity <severity> The code denoting the severity of the subject event of the alert message. (Required)</severity>	Allowed Values Extreme, Severe, Moderate, Minor or Unknown.	Required.	The Alert Originator can change the Severity in Update and Cancel Messages. WEA Imminent Threat messages require Extreme or Severe. The WEA message will canceled if a WEA Imminent Threat message Update uses other values.
<b>Certainty <certainty></certainty></b> The code denoting the certainty of the subject event of the alert message. (Required)	Allowed Values Observed, Likely, Possible, Unlikely or Unknown.	Required.	The Alert Originator can change the Certainty in Update and Cancel Messages. WEA Imminent Threat messages require Observed or Likely. The WEA message will cancel if a WEA Imminent Threat message Update uses other values.
Audience <audience> The text describing the intended audience of the alert message. (Optional)</audience>	"All" and "Public" sometimes appear as filler text.	Omit if not used.	Not used by Public CAP distribution channels.

Event Code <eventcode></eventcode>	All Info block instances must	All Info block instances must	The Event Type text should be
A system- specific code	have the same Event Code	have the same Event Code	synchronized with the Event
identifying the event type of	values.	values.	Code(s).
the alert message.			
(Optional, Multiple	The content of "valueName"	The content of "valueName"	
occurrences allowed)	identifies the assigned domain	should exactly match the	
	of the event code. Values of	assigned string for the	
	"valueName" are NOT case-	domain, including	
	sensitive, but acronyms should	upper/lower casing. The	
	be in all capital letters without	content of "value" should	
	periods.	exactly match the value in the	
		domain-specific code table,	
	The content of "value" is a	including upper/lower casing.	
	case-sensitive string and	A Cancel or Update message	
	should match the domain-	should automatically populate	
	specific code table.	the same Event Code(s) from	
		the most recent referenced	
		message.	
<valuename> = "SAME"</valuename>		Required for EAS.	All three-letter values for
(Optional)		Each Info block instance must	SAME Event Code are passed,
		contain one and only one	even if the Event Code value is
		Event Code with the	not shown in FCC Part 11.31,
		"valueName" element	as long as the value is three-
		containing the uppercase	letters. For example, "NWS" is
		value "SAME" and the "value"	used as a placeholder with
		element containing an	extended weather phenomena
		uppercase three-letter code.	and significance codes.
<valuename> = Other values</valuename>	Multiple event codes, with		For example,
(Optional, Multiple	"valueName" other than		"NationalWeatherService"
occurrences allowed)	SAME, may be present.		(exact case) is used with
			weather phenomena and

			significance codes not covered by SAME event codes.
Effective Date/Time <effective> The effective time of the information of the alert message. (Optional, if absent, assumed to be the same as Sent Date/Time)</effective>	IPAWS CAP messages are always in effect when issued. If present, must have valid date / time / offset format "CCYY-MM- DDThh:mm:ssXzh:zm".	When Message Type is Alert, by default, automatically populate with the current time, usually the same as Sent Date/Time. A Cancel or Update message should automatically populate the same Effective Date/Time from the most recent referenced message.	The Effective Date/Time value must be the same or earlier than the Sent Date/Time value. It may be rounded down to a whole minute or adjusted by the alert originator. When present, the Effective Date/Time should take precedence over the Sent Date/Time for the purposes of rendering a public alert. The Effective time still must be the same or earlier than the Sent Date/Time value. This assumes the CAP message is composed shortly before being sent, but the Effective timestamp may differ (earlier) from the Sent timestamp by a few minutes to better synchronize with other alerting systems timestamps. See Sent Date/Time for Time Zone Offset handling.

Onset Date/Time <onset></onset>	IPAWS CAP messages are	When Message Type is Alert,	The Onset Date/Time value
The expected time of the	always in effect when issued.	by default, omit the Onset	may be adjusted by the alert
beginning of the subject event		Date/Time element.	originator.
of the alert message.	If absent, assumed to be the		
(Optional)	same as Effective Date/Time	A Cancel or Update message	See Sent Date/Time for Time
(0,0,0,0,0,0,0,0,0,0,0,0,0,0,0,0,0,0,0,	value.	should automatically populate	Zone Offset handling.
		the same Onset Date/Time	
	If present, must have valid	from the most recent	
	date / time / offset format	referenced message.	
	"CCYY-MM-	referenced message.	
	DDThh:mm:ssXzh:zm".		
Expiration Date/Time	Required.	When Message Type is Alert,	The Expiration Date/Time
<expires></expires>	Nequired.	by default, automatically	value must be the later than
The expiry time of the	Must be later than the Sent	populate with the Effective	the Sent Date/Time value.
information of the alert	Date/Time value. IPAWS CAP	Date/Time value plus a default	the sent Date/ Time value.
	messages must not expire	expiration time interval.	The default expiration time
message.	before or at issuance.	expiration time interval.	interval should be a multiple
(Optional, if absent, recipient	before of at issuance.		
may use its own expiration		A Cancel or Update message	of 15 minutes. The Expiration
policy.)	If present, must have valid	should automatically populate	Date/Time may be rounded up
	date / time / offset format	the same Expiration	in whole expiration time
	"CCYY-MM-	Date/Time from the most	intervals, i.e., 15 minutes, or
	DDThh:mm:ssXzh:zm".	recent referenced message.	adjusted by the alert
			originator.
			IPAWS distribution partners
			may further round up the
			expiration time by a
			distribution channel
			acceptable interval or have a
			distribution channel maximum
			expiration time limit. For

			example, NWEM (Six hours), WEA (24 hours) and EAS (99:30 hours). See Sent Date/Time for Time Zone Offset handling.
Sender Name <sendername> The text naming the originator of the alert message. (Optional)</sendername>	If present, could contain line breaks, white space and control codes.	Automatically populate based on alert origination agency. Sender Name should contain plain text limited to 64 characters, without control codes or formatting characters. Backup alert origination agencies should be able to choose alternate Sender Names when sending alerts on behalf of other agencies. The Sender Name should not be manually typed by the operator.	The proper name including jurisdiction of the responsible agency on behalf the alert message is being issued, which may be different from the originator in the Sender ID. The first 11 characters of the Sender Name are used in the default CMAS alert text. The default is not used when the Parameter "CMAMtext" exists. The Sender Name element may be used as the display text with the web link or the posting "From" line in Social media and internet apps. The Sender ID in the Alert block is always the actual originator, even if the Sender Name is a different agency name. Normally the Alert block/Sender ID is not

	See notes below.
The text headline of the alert message. (Optional)breaks, white space and control codes.recommend plain text case limit without of formattinThe Head plain text case limit without of formattinThe Head plain text case limit without of formattinThe Head plain text case limit without of formattinThe Head plain text case limit without of formattinImage: text more detImage: text plain text case limit without of formattinImage: text more detImage: text plain text text plain text text text text text text text text textImage: text more detImage: text text text text text text text text text text text text text textImage: text <br< td=""><td>but strongly The Alert Originator must be</td></br<>	but strongly The Alert Originator must be

			Cancel message should automatically generate a draft cancellation headline which the Alert Originator can customize, for example: "Canceled: <previous< th=""></previous<>
			headline>"
Event Description	If present, could contain line	Required.	The Alert Originator must be
<description></description>	breaks, white space and	The text should be relevant	able to customize the Event
The text describing the subject	control codes.	and useful for the audience in	Description text, even if the
event of the alert message.		the alert area. The text should	system generates draft Event
(Optional)		not be duplicated in the	Description text from a
		Headline or Instructions.	template or other Info block
			elements.
		The Event Description should	
		contain plain text in normal	Note: Most IPAWS distribution
		sentence case.	channels do NOT render
			Cancel messages for the
		Suggest limiting the Event	public. A Cancel message only
		Description plus Instructions	STOPS the rendering of the
		to about 200 words (less than	referenced alert messages.
		1,000 characters) to fit within	
		two minutes when using Text-	This recommendation is for
		to-Speech. The length of the	robustness, in case a Cancel
		Event Description and	message is accidently
		Instructions must be less than	rendered to the public:
		1800 bytes. Depending on	Cancel messages should NOT
		UTF-8 encoding that may be	populate the Event
		less than 1800 characters.	Description from earlier
			referenced messages. A Cancel
			message should automatically

		Update messages should verify before posting that they are different to avoid accidently reposting duplicate messages.	populate the Event Description with a draft cancellation statement which the Alert Originator can customize. For example: "The <event type=""> has been canceled by <sender name=""> on <date> at <time>."</time></date></sender></event>
Instructions <instruction> The text describing the recommended action to be taken by recipients of the alert message. (Optional)</instruction>	If present, could contain line breaks, white space and control codes.	Optional, but strongly recommended if Response Type exists, and is not None. Instructions may be present even if Response Type is None or absent.	The Alert Originator must be able to customize the Instructions text, even if the system generates draft Instructions text from a template or other Info block elements.
		The Instructions text and Event Description text should not be the same. If present, Instructions text should be actionable and should focus on appropriate protective action to be taken by the public or the specified	Note: Most IPAWS distribution channels do NOT render Cancel messages for the public. A Cancel message only STOPS the rendering of the referenced alert messages.
		audience of the alert. The Instructions should contain plain text in normal sentence case. Suggest limiting the Event Description plus Instructions	This recommendation is for robustness, in case a Cancel message is accidently rendered to the public: Cancel messages should NOT populate the Instructions from earlier referenced messages. The Instructions element

		to about 200 words (less than 1,000 characters) to fit within two minutes when using Text- to-Speech. The length of the Event Description and Instructions must be less than	usually should be omitted from Cancel messages, unless customized by the Alert Originator.
		1800 bytes. Depending on UTF-8 encoding that may be less than 1800 characters.	Instructions are created, they should NOT presume a canceled alert message means the hazard is all-clear.
		If not used, should be omitted instead of filler characters.	
Information URL <web> The identifier of the hyperlink associating additional information with the alert message. (Optional)</web>	If present, must be a well- formed, but not necessarily valid, URL.	Optional, but strongly recommended. If present, must be a well- formed URL. Should be valid and publicly accessible. Avoid using unsafe and percent- encoded characters in URIs. If not used, should be omitted instead of filler characters.	This URL should link (or re- direct) to the most current update of the alert accessible on the web. Not an old copy of the alert. Alternative: A static link to the Alert Originator's website.
Contact Info <contact> The text describing the contact for follow-up and confirmation of the alert message. (Optional)</contact>	If present, could contain line breaks, white space and control codes.	Omit if not used. If present, Contact Info should be plain text limited to 64 characters, without control codes or formatting characters.	Avoid personally identifiable information, such as operator names, email addresses, etc. No format is specified. If used, suggest including only public "mailto:" or "tel:" links. Not supported by EAS or WEA, but

			some internet browsers will automatically detect.
Parameter <parameter> A system- specific additional parameter associated with the alert message. (Optional, multiple occurrences allowed)</parameter>	The content of "valueName" identifies the assigned domain of the Parameter code. Values of "valueName" are NOT case- sensitive, but acronyms should be in all capital letters without periods. The content of "value" varies by the type of domain, either a text string or a coded value. If a coded domain, the content of "value" is a case-sensitive string and should match the domain-specific code table. If a text string, the content of "value" is a free-text string, and could include arbitrary line breaks, white space and control codes.	The content of "valueName" should exactly match the assigned string for the domain, including upper/lower casing. If a coded domain, the content of "value" should exactly match the domain-specific code table, including upper/lower casing. If a text string, the content of "value" should contain plain text, without control codes or formatting characters.	A Cancel or Update message should automatically populate the same Parameter(s) coded values with updated information or from the most recent referenced message. If a text strings, see the guidance for each text Parameter for Cancel and Update processing. Note: Most IPAWS distribution channels do NOT render Cancel messages for the public. A Cancel message STOPS the rendering of any referenced alert messages. Including copies of the Parameter(s) in the Cancel message is for the convenience of exchange partners which may have missed some of the
<valuename> = "BLOCKCHANNEL" (Optional, multiple occurrences allowed)</valuename>		Omit, unless intentionally blocking specific IPAWS distribution channels.	referenced messages. Parameter instances, each with one of the following four values will restrict that message distribution channel: CMAS, EAS, NWEM or PUBLIC.

<valuename> = "CMAMtext"</valuename>	Could contain UTF-8 encoded	Strongly recommended.	Only the first Info block
(Optional)	characters not available in the	Should be present to avoid	occurrence for each Language
(0,0,0,0,0,0,0,0,0,0,0,0,0,0,0,0,0,0,0,	GSM 7-bit alphabet, line	generating unexpected default	element equal to "en-US" or
	breaks, white space and	WEA text by the IPAWS WEA	"es-US" are processed by the
	control codes.	channel transformation.	IPAWS WEA distribution
		Each Info block should contain	channel.
		one and only one instance of	Any characters not supported
		the Parameter with	by the GSM 7-bit default
		<valuename> of "CMAMtext"</valuename>	alphabet (3GPP TS
		(exact text and upper/lower	23.038/GSM 03.38) may be
		casing).	removed or replaced when
		The <value> element should</value>	transformed by the WEA
		contain plain text limited to 90	(CMAC) distribution channel.
		characters, encoded in UTF-8	
		with characters also available	
		in GSM 7-bit default alphabet.	
<valuename> =</valuename>	Could contain UTF-8 encoded	Strongly recommended,	Only the first Info block
"CMAMlongtext"	characters not available in the	should include to avoid	occurrence for each Language
(Optional)	GSM 7-bit alphabet, line	generating unexpected default	element equal to "en-US" or
	breaks, white space and	WEA text by the WEA channel	"es-US" are processed by the
	control codes.	transformation.	IPAWS WEA distribution
		Each Info block should contain	channel.
		one and only one instance of	Any characters not supported
		the Parameter with	by the GSM 7-bit default
		<valuename> of "CMAMtext"</valuename>	alphabet (3GPP TS
		(exact text and upper/lower	23.038/GSM 03.38) may be
		casing).	removed or replaced when
		The <value> element should</value>	transformed by the WEA
		contain plain text limited to	(CMAC) distribution channel.
		360 characters, encoded in	
		UTF-8 with characters also	

		available in GSM 7-bit default	
		alphabet.	
<valuename> = "EAS-ORG"</valuename>		Required for EAS and NWEM	Must match the Alerting
(Optional)		distribution channels.	Organization's IPAWS profile.
(Optional)		Each Info block should contain	The EAS-ORG code value must
		one and only one instance of	be one of the following three-
		the Parameter with the	letter codes:
		valueName of "EAS-ORG"	
			PEP – Primary Entry Point Sustant
		(exact text and uppercase).	System
		The value contains a three-	EAS – Broadcast station or
		letter uppercase code equal to	cable system
		EAS, CIV, WXR or PEP.	WXR – National Weather
			Service
			CIV – Civil authorities
<valuename> = "timezone"</valuename>		Required for NWEM	The originator's timezone
(Optional)		distribution channels.	code must be one of
		Each Info block should contain	the following three-
		one and only one instance of	letter/four-letter codes for
		the Parameter with the	both standard and daylight
		valueName of "timezone"	saving time:
		(exact lowercase).	AST – Atlantic time zone
		The value contains a three-	EST – Eastern time zone
		letter/four-letter uppercase	CST – Central time zone
		time zone code equal to AST,	MST – Mountain time zone •
		EST, CST, MST, PST, HST, AKST,	PST – Pacific time zone
	SST or CHST	HST – Hawaii time zone	
		AKST– Alaska time zone	
			SST– Samoa time zone
			CHST– Chamorro time zone
<valuename> =</valuename>			"Child Abduction"

Sean Donelan – sean@donelan.com

(Optional)		"Presidential"
		"Public Safety"
		"WEA Test"
<valuename> = other</valuename>		A wide-variety of other
(Optional, Multiple		Parameter codes are used.
occurrences allowed)		Mostly ignored, except for
		proprietary systems.

Notes on Sender Name element:

The Sender Name is used primarily by the PUBLIC distribution channel for internet and social media. WEA may use the first 11 characters as part of the default CMAS alert test, only when the Parameter "CMAMtext" is missing. Since its almost always better to use CMAMtext, concern about truncation of the Sender Name in WEA messages should be less of an issue. EAS can, but seldom does, use the Sender Name. NWEM used Sender Name structured as "COG Name, City, State" but is currently disabled. The NWEM channel transformation process probably should use IPAWS metadata, such as COG ID, for machine processing and to lookup the responsible agency instead of a text field.

if IPAWS is used for next-generation alerting channels, the Sender Name text could be a powerful part of the public's assessment of an alert's source and importance. But only if the Sender Name is meaningful to the public.

Use a proper name for the agency which will be clear, concise and recognizable to the public. The name does not need to be the agency's formal, legal name or COG name; and often is not. Joint communication centers should adopt a consistent, meaningful public name for joint warning operations. Unless multiple department offices issue warnings within the same jurisdiction or agency, a long name specifying intradepartment offices or branches is unnecessary.

Do not assume all alert recipients will be local or will recognize local agencies. Alerts are often distributed outside the intended local area or posted on global social media.

The full proper name should indicate the nation, state, county, city, etc. of the agency's jurisdiction. The Sender Name should avoid confusion with the names of other agencies or jurisdictions. For example, there are multiple Springfield Police Departments; use Springfield Police Department IL instead. Likewise, instead of Metropolitan Police Department use Metropolitan Police Department of Washington DC. Local agencies could join together to create a new consolidated joint

Sean Donelan - sean@donelan.com

county, multicounty or multistate alerting authority name, e.g., Washington DC National Capital Region Emergency Operation Center (does not actually exist). Avoid abbreviations, with a few nationally known exceptions, such as NWS forecast offices and USPS state abbreviations. Even if an agency believes they are better known by their abbreviation, infrequent alert originators should include their proper name after the abbreviation. Such as, FBI – Federal Bureau of Investigation or FEMA – Federal Emergency Management Agency.

Some federal agencies use distinct local alerting authority names, such as weather forecast offices and some military bases.

Alerting authorities should coordinate naming policies with their state emergency management agency. If your state has no naming policy, The Associated Press Stylebook may be a useful reference.

Because the Sender Name element is in the Info block with a Language identifier, the text value in different blocks may be in different languages. Such as President of the United States and Presidente de los Estados Unidos.

National examples (not actual, with National, Federal, etc.):

- President of the United States
  - Spanish: Presidente de los Estados Unidos
- Federal Emergency Management Agency
- National Earthquake Information Center
- U.S. Department of Homeland Security
- U.S. Tsunami Warning Center

State examples (not actual, with full state name):

- California Highway Patrol
- California Office of Emergency Services
- Port Authority of New York and New Jersey
- New York State Police
- Virgin Islands Territorial Emergency Management Agency

Local examples (not actual, with full city or county, and state abbreviation):

• City of Los Angeles CA

Sean Donelan - sean@donelan.com

- Los Angeles County CA
- NWS Baltimore MD/Washington DC
- Pasco County Sheriff's Office FL
- U.S. Army Fort Knox KY
- Saint Louis County MN
- Saint Louis City MO
- Saint Louis County MO
- NWS Buffalo NY
- New York City Office of Emergency Management NY
- Pentagon Force Protection Agency Arlington VA
- University of Wisconsin-Madison Police Department WI

A little redundancy in names is better than a lot of confusion caused by names.

#### CAP Resource Element and Sub-elements Details

CAP v1.2 standard	Liberal accept	Conservative send	Notes
Resource <resource></resource>		Link to recorded audio file	Only needed if audio file or
The container for all component		strongly recommended for	stream is linked or embedded.
parts of the resource sub-		EAS. Not required for CMAS.	May be used with other
element of the info sub- element			resource types, e.g., images
of the alert element.			and video.
(Optional, Multiple occurrences			
allowed)			
Description <resourcedesc></resourcedesc>	Required, could contain line	For EAS audio content use	Some exchange partners may
The text describing the type and	breaks, white space and	"EAS Broadcast Content" in	process only the first Resource
content of the resource file.	control codes.	the first Resource block	block occurrence in an Info
(Required)		instance.	block.
		Should use a controlled	
		vocabulary in the Info block	

MIME Type <mimetype></mimetype>	Required, could contain line	language containing text limited to 35 characters, without control codes or formatting characters. For EAS audio content, use:	See notes on resource description. MP3 is the most widely
The identifier of the MIME content type and sub-type describing the resource file. (Required)	breaks, white space, control codes and arbitrary strings.	audio/x-ipaws-audio-mp3	supported audio type. The MP3 patent has expired, so all CAP creators should be able to use.
File Size <size> The integer indicating the size of the resource file (Optional)</size>		Value in bytes of the raw file size retrieved from the <uri> or <derefuri> (not after base64 encoding or HTTP compression).</derefuri></uri>	See notes on Mime type. Omit if unknown or indefinite, do not use 0 or MAXINT.
URI <uri> The identifier of the hyperlink for the resource file. (Optional)</uri>	The absolute URL location should be publicly accessible over the internet. A relative URL should contain only a filename and file type extension. It can be used as the suggested filename for the derefUri element.	For EAS audio use the full absolute URL of the audio file on internet. CAP producers should verify the resource file URL is accessible on the public internet, i.e., not a private IP address or blocked by firewalls. Omit if not publicly accessible. Avoid using unsafe and percent-encoded characters in URIs.	If both <derefuri> and a full absolute <uri> occur in the same Resource block, first attempt to retrieve and use the resource file from the absolute <uri>. For security reasons, relative URIs are not used. CAP consumers should generate their own temporary storage filenames.</uri></uri></derefuri>
Dereferenced URI <derefuri></derefuri>		Not recommended with IPAWS. Can be used with state	If a full absolute <uri> is not present or could not be</uri>

Sean Donelan -	sean@donelan.com
----------------	------------------

The base-64 encoded data content of the resource file		systems and systems in other countries.	retrieved, then decode and use the resource file from the
(Conditional)			base-64 derefUri.
Digest <digest></digest>	Poorly defined.	Calculate using the Secure	Should be prepared for longer
The code representing the digital		Hash Algorithm (SHA-1) of the	SHA digests if the CAP
digest ("hash") computed from		raw file.	standard or IPAWS profile is
the resource file		Value stored as 28 characters	updated with the latest FIPS
(Optional)		in base64 for a 160-bit hash.	Secure Hash standards.

Notes on Resource Description and MIME Type

Resource Description should use a controlled vocabulary to identify the Resource blocks for a specific IPAWS distribution channel, i.e., "EAS Broadcast Content" or as simple text for a public caption or hyperlink anchor in general-purpose Resource blocks. The <web> URL is better for complex interactive resources such as HTML pages or browsers selecting alternative video encodings.

General-purpose Resource blocks are typically used for simple internet/Social Media hyperlinks but could be used by any IPAWS distribution channel. Possible simple hyperlinks could include pictures (image/gif, image/jpeg, image/png) used with the Resource Description: Missing Person, Subject, Victim, Vehicle, Location Map or Evacuation Routes. General-purpose Resource Descriptions should in the Info block language.

In the future, not yet standardized, Resource Descriptions could identify which Resource blocks contain extended Advanced Emergency Alerting packages in the CAP message to pass to advanced warning and alerting systems.

URI file names should use URL and filename safe alphabet (RFC4648) "[\_A-Za-z0-9-]+", plus the recommended file type extension. Avoid using unsafe characters and percent-encoded characters in URIs.

Resource Description	Resource mimeType	Suggested encoding parameters	Notes
CMAS *			Resource block Descriptions
EAS *			beginning with IPAWS
NWEM *			channel names should be
PUBLIC *			reserved for future

			standardization with those distribution channels.
EAS Broadcast Content	audio/x-ipaws-audio	Unspecified audio codec not recommended.	Use a specific audio codec type identifier for EAS.
	Audio/x-ipaws-audio-mp3	Human recording: 96 kbps CBR, Mono, (16-bit), 48 kHz. Loudness -16 LUFS (LKFS), -1 dB FS.	Content-Type: audio/mpeg File type extension: .mp3
		Max file size: 1.5MB (Two minutes)	Note: mono is actually mixed at -19 LUFS, but most
		Computer generated text-to-speech: 64 kbps CBR, Mono, (16-bit), 22.05 kHz. Loudness -16 LUFS (LKFS), -1 dB FS. Max file size: 1MB (Two minutes)	loudness meters adjust and display -16 LUFS for both mono/stereo.
	Audio/x-ipaws-audio-wav	Not recommended.	Content-Type: audio/vnd.wave, audio/wav, audio/wave, or audio/x-wav File type extension: .wav Mono, 16-bit, 22.05 kHz Loudness -16 LUFS (LKFS), -1 dB FS.
			Note: mono is actually mixed at -19 LUFS, but most loudness meters adjust for mono/stereo and display -16 LUFS for both. Max file size: 5.5MB (Two minutes)
	audio/x-ipaws-streaming- audio	None standardized.	
	Video/x-ipaws-video	None standardized.	

	Video/x-ipaws-streaming- video	None standardized.	
Other resource types must have an appropriate Description text.	audio/mpeg audio/vnd.wave (wav, wave or x-wav)	If it's not accepted according to the Internet Advertising Bureau (IAB) Standards, Guidelines and Best Practices, it's likely a bad idea.	Use IPAWS MIME types only with resourceDesc "EAS Broadcast Content." Use IANA MIME types with other
	image/gif image/jpeg image/png		resource descriptions.

# CAP Area Element and Sub-elements Details

CAP v1.2 standard	Liberal accept	Conservative send	Notes
Area <area/>		At least one Area block must	Some exchange partners may
The container for all component parts of the area sub- element of		be present in each Info block.	process only the first Area block in an Info block.
the info sub- element of the alert message. (Optional, Multiple occurrences allowed)		Area blocks should be consistent across Info block instances with different Language identifier values.	When multiple Area blocks exist within an Info block, the alert area of the Info block is the geospatial union of the associated Area blocks.
			See notes on alerting areas.
Area Description <areadesc> The text describing the affected</areadesc>	Required, could contain line breaks, white space and	Required.	The Area Description text should describe, and be
area of the alert message.	control codes.	Should use a controlled	synchronized with, the area
(Required)		vocabulary in the Info block	represented by Area
		language containing text limited to 35 characters if	Polygon(s), Area Circle(s), Area

		multiple Area blocks each with	Geocode(s), Altitude and
		a separate area or 256	Ceiling in this Area block.
		characters if a single Area	
		block with all the areas	Other national CAP profiles
		combined, without control	use keywords in the Area
		codes or formatting	Description to include/exclude
		characters.	alert areas.
Area Polygon <polygon></polygon>	Structured string.	Optional.	When multiple Area Polygons
The paired values of points			exist within an Area block, the
defining a polygon that			polygon area for that Area
delineates the affected area of			block is the geospatial union
the alert message.			of all the Area Polygons in the
(Optional, Multiple occurrences			same block.
allowed)			
Area Circle <circle></circle>	Structured string.	Optional.	When multiple Area Circles
The paired values of a point and			exist within an Area block, the
radius delineating the affected			circle area for that Area block
area of the alert message.			is the geospatial union of all
(Optional, Multiple occurrences			the Area Circles in the same
allowed)			block.
Area Geocode <geocode></geocode>	The content of	The content of "valueName"	Some exchange partners may
The geographic code delineating	"valueName" identifies the	should exactly match the	process only one type of
the affected area of the alert	assigned domain of the	assigned string for the domain,	Geocode.
message.	Area Geocode. Values of	including upper/lower casing.	
(Optional, Multiple occurrences	"valueName" are NOT case-	The content of "value" should	When multiple Area Geocodes
allowed)	sensitive, but acronyms	exactly match the value in the	exist within an Area block, the
	should be in all capital	domain-specific code table,	geocode area for that Area
	letters without periods.	including upper/lower casing.	block is the geospatial union
		A Cancel or Update message	of all the Area Geocodes in the
	The content of "value" is a	should automatically populate	same block.
	case-sensitive string and	the same Geocode(s) from the	

Sean Donelan – sean@donelan.com

	should match the domain- specific code table.	most recent referenced message.	
<valuename> = "SAME" (Optional, Multiple occurrences allowed)</valuename>	Area blocks may contain multiple Area Geocodes with the "valueName" element containing the uppercase value "SAME" and the "value" element containing an a SAME 6- digit location (extended FIPS) code.	At least one SAME Geocode must be present in each Info block language.	Only the first 31 SAME Geocodes for an entire Info block are used by EAS. Other CAP distribution channels may process more, or fewer SAME Geocodes.
<valuename> = Other values (Optional, Multiple occurrences allowed)</valuename>	Multiple Area geocodes with "valueName" other than SAME may be present.		For example, NOAA's Universal Geographic Code (UGC).
Altitude <altitude> The specific or minimum altitude of the affected area of the alert message (Optional)</altitude>		Omit if not used.	Not currently used in IPAWS.
Ceiling <ceiling> The maximum altitude of the affected area of the alert message (Conditional)</ceiling>	May only occur when Altitude is also present.	Omit if not used.	Not currently used in IPAWS.

Notes on alerting areas

When an individual Area block contains a combination of Area Polygons, Area Circles and Area Geocodes, some exchange partners use only one type of area, i.e., only polygons, circles or geocodes, to create an effective alerting area. This means GIS enabled exchange partners usually alert a small geographic area, based on polygons or circles. And usually non-GIS exchange partners alert larger geographic areas, based on geocodes. However, sometimes Alert Originators create polygons

Sean Donelan – sean@donelan.com

/ circles larger than the associated geocode area. In that case, GIS exchange partners alert a larger geographic area, and non-GIS exchange partners alert a smaller geographic area.

Alerting is even more inconsistent when the polygon / circle areas and geocode areas are disjoint.

A suggested alternative is make the geospatial intersection of the area types (i.e., polygons, circles, geocodes) within each Area block and then combine that area in the geospatial union with other Area blocks. In other words, make a union of all the polygons in the same block, make a union of all the circles in the same block, and make a union of all the geocodes in the same block. Then take the intersection of those three (non-null) area types in the same block to create the joint overlapping area result for that Area block. Elevation and Ceiling is not currently used in IPAWS. But if used, Elevation and Ceiling would create a 3-Dimensional geospatial area result for that Area block. That block's result is then combined in the union with other Area blocks. The final result is a consistent and specific alerting area.

CAP creators should coordinate with adjacent alerting authorities. Natural hazards rarely respect geopolitical borders. And alerting systems have significant bleed-over beyond specified alert boundaries. If multiple jurisdictions are impacted, consider creating a single, joint CAP alert covering the entire affected area instead of multiple alerts for each jurisdiction.

CAP alert origination software should warn the alert originator when specifying very small or very large alert areas for different IPAWS distribution channels. The effective area alerted by different exchange partners will vary depending on each partner's dissemination policies and technical capabilities. The suggested minimum alert areas are:

- The polygon area should be greater than 2.5 square kilometers or approximately 1 square mile.
- The circle radius should be greater than 0.9 kilometers; approximately 2.5 square kilometers or 1 square mile.
- The SAME geocode should be greater than 1/9 of the county, which is the minimum size of a SAME geocode.

These are warnings, not errors. An Alert Originator may intend to alert a very small or very large area, but often it's a typing or interface error. These suggested minimum sizes should be configurable.

IPAWS channel	Suggested minimum alert area	Suggested maximum alert area
CAPEXCH	No minimum area	Depends on IPAWS authorization
CMAS	25 square kilometers; 10 square miles	Depends on IPAWS authorization
EAS	200 square kilometers; 77 square miles	Depends on IPAWS authorization

Sean Donelan - sean@donelan.com

NWEM	50 square kilometers; 19 square miles	Depends on IPAWS authorization
PUBLIC	2.5 square kilometers; 1 square mile	Depends on IPAWS authorization

Notes on geospatial data types (from EDXL standards):

Values for latitude and longitude are expressed as decimal fractions of degrees conforming to the WGS84 coordinate reference system. Whole degrees of latitude are represented by a decimal number ranging from 0 through 90. Whole degrees of longitude are represented by a decimal number ranging from 0 through 180. When a decimal fraction of a degree is specified, it is separated from the whole number of degrees by a decimal point (the period character, "."). Decimal fractions of a degree are expressed to the precision intended, with trailing zeros being used as placeholders if required. A decimal point is optional where the precision is less than 1 degree.

Some effort should be made to preserve the apparent precision when converting from another datum or representation, for example 41 degrees minutes should be represented as 41.22 and not 41.21666, while 41° 13' 11" may be represented as 41.2197.

Latitudes north of the equator MAY be specified by a plus sign (+), or by the absence of a minus sign (-), preceding the designating degrees. Latitudes south of the equator MUST be designated by a minus sign (-) preceding the digits designating degrees. Latitudes on the equator MUST be designated by a latitude value of 0.

Longitudes east of the prime meridian shall be specified by a plus sign (+), or by the absence of a minus sign (-), preceding the designating degrees. Longitudes west of the prime meridian MUST be designated by a minus sign (-) preceding the digits designating degrees. Longitudes on the prime meridian MUST be designated by a longitude value of 0. A point on the 180<sup>th</sup> meridian shall be taken as 180 degrees West and shall include a minus sign.

Caution: EDXL standards do not specify how alert areas cross -180 and 180 degrees. The two typical alternatives are:

- 1. Use two Area blocks with adjacent alert areas on their respective sides of the 180-degree meridian.
- Use bounding box coordinates with longitudes extending over 180 or -180, e.g., 183 West (-) is the same as 177 East (+); and 183 East (+) is the same as 177 West (-). This connects the coordinates across the 180-degree meridian, instead of connecting the coordinates across the prime (0-degree) meridian on the other side of the globe.

CAP producers should use alternative #1. CAP consumers should be prepared to handle both alternatives.

Sean Donelan – sean@donelan.com

## Signature Element and sub-elements

<signature></signature>		
<signedinfo></signedinfo>		
<canonicalizationmethod></canonicalizationmethod>		
<signaturemethod></signaturemethod>		
<reference></reference>		
<transforms></transforms>		
<transform></transform>		
<digestmethod></digestmethod>		
<digestvalue></digestvalue>		
<signaturevalue></signaturevalue>		
<keyinfo></keyinfo>		
<x509data></x509data>		
<x509subjectname></x509subjectname>		
<x509certificate></x509certificate>		

Notes on Signature

See IPAWS-OPEN Interface Design Guidance document for digital signature requirements.